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**IN THE UNITED STATES BANKRUPTCY  
COURT FOR THE NORTHERN DISTRICT OF  
TEXAS DALLAS DIVISION**

In re	§	Chapter 11
HIGHLAND CAPITAL MANAGEMENT, L.P.,	§	Case No. 19-34054-SGJ11
Debtor.	§	
-----	§	
UBS SECURITIES LLC AND UBS AG	§	Adversary Proceeding
LONDON BRANCH,	§	No. 21-03020-sgj
	§	
Plaintiffs,	§	
vs.	§	
HIGHLAND CAPITAL MANAGEMENT, L.P.,	§	
Defendant.	§	

## CERTIFICATE OF SERVICE

The undersigned counsel for UBS Securities LLC and UBS AG London Branch, plaintiffs in the above-captioned adversary proceeding, hereby certifies that on March 29, 2021, true and correct copies of the (i) *Original Complaint for Injunctive Relief* [Adv. Dkt. No. 3] (the “Complaint”), (ii) *Plaintiffs’*

*Motion for a Temporary Restraining Order and Preliminary Injunction* [Adv. Dkt. No. 4] (the “TRO Motion”), (iii) *Appendix of Exhibits to Plaintiffs’ Motion for a Temporary Restraining Order and Preliminary Injunction* [Adv. Dkt. No. 5] (the “Appendix”); and (iv) *Plaintiffs’ Memorandum of Law in Support of Motion for a Temporary Restraining Order and Preliminary Injunction* [Adv. Dkt. No. #6] (the “Memorandum”) were served electronically on Lisa L. Lambert, counsel to the Office of the United States Trustee, at Lisa.L.Lambert@usdoj.gov and on Matthew A. Clemente, counsel to the Official Committee of Unsecured creditors, at mclemente@sidley.com. Additionally, on April 1, 2021, true and correct copies of the *Summons in an Adversary Proceeding* [Adv. Dkt. No. 8], Complaint, TRO Motion, Appendix, and Memorandum were served on defendant Highland Capital Management, L.P. (the “Debtor”) by U.S. Mail, first class, addressed to Highland Capital Management, L.P., 300 Crescent Court, Suite 700, Dallas, Tx. 75201, Attn: James P. Seery, Jr., Chief Executive Officer and on Jeffrey N. Pomerantz and John Morris, counsel to the Debtor, at Pachulski Stang Ziehl & Jones LLP, 10100 Santa Monica Blvd., 13th Floor, Los Angeles, CA 90067, Attn: Jeffrey N. Pomerantz and John Morris.

This the 8<sup>th</sup> day of April 2021.

By: /s/ Martin A. Sosland

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